

ORDERS

SPECIAL Term, SPECIAL Day, 4 Day of APRIL 20 11

00098210

This special meeting brought to order by the County Judge. Roll call given by the County Clerk, with members present, Esq. Judd, Esq. Moody, Esq. Goodlett, Esq. Davis, and Esq. Cheek was not present, he is on vacation.

Public Hearing, County Road Aid And Local Government Economic Assistance Funds.

Present to speak, Mr Lawrence Trageser.

On motion of Esq. Goodlett, second by Esq. Judd, all members present voting "Aye", it is hereby ordered by the Court for this special meeting to adjourn.


BILL KARREK, SPENCER COUNTY JUDGE EXECUTIVE

REGULAR TERM REGULAR DAY 4 DAY OF April 2011

Esq. Judd, led the Spencer County Fiscal Court into prayer before the Court began.

Pledge of Allegiance to the American Flag.

Call to order by the County Judge and roll call given by the County Clerk, present Esq. Judd Esq. Moody, Esq. Goodlett, Esq. Davis, Esq. Cheek was not present, he is on vacation.

On motion of Esq. Judd, second by Esq. Goodlett, all members of the Court present voting "Aye" it is hereby order to approve the Fiscal Court minutes with corrections on page 420, to read Mr. Sonny Gollar, came before the Court to ask for help in getting water to his property. Page 420, to read, the Haz-mat Trailer Supplies and Repairs, at the cost of \$3196.00, with the amount of \$1964.28 that has been collected from the balance total of \$5160.79, coming from Court Fees.

Page 435, needs to be recopied with signatures.
page 438, needs to be recopied with signatures.
page 439, needs to be recopied with signatures.
page 440, needs to be recopied with signatures.
page 452, On motion of Esq. Cheek, second by Esq. Goodlett, all members of the Court present voting "Aye", it is hereby ordered by the Court to hire Reed Marksbury.

Communications From Citizens:
Mr. Lawrence Trageser came before the Court on his concerns about the Haz-Mat duty retirement pay, and his concerns on the County Clerks budget.

Sonny Gollar, came before the Court to ask for help in getting city water to his property.

Mr. Bobby Smith and Kristen Smith, came before the Court with concerns of the Planning & Zoning Commission and their remarks are as follows:

When the English Colonist came to Jamestown, Virginia in 1607 they brought with them their discriminatory practices. Capt John Smith identified about half of the group as "gentlemen" the remainder as various trades and laborers. It was logical indeed for historians to assume that these gentry knew nothing of or thought it beneath their station to tame a wilderness. In 1619, a Dutch trader brought more discrimination; the outrageous belief one human could own another. At the time, most white people believed this to be okay as they looked to the landlords for leadership and role models. We know it was discrimination.

In the settlement of America, the American Indians became victims of discrimination because of the white mans desire of their land. This led to the removal of the Cherokee over the trail of tears and many other injustices along with the use of brute force and war. At the time, most white people thought this was okay as they looked to their government for leadership and role models. We know it was discrimination.

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The Civil War was a direct result of our country's discriminatory behavior. However, the gallant leadership and sacrifice of his life by Martin Luther King was still necessary to move us along the path to someday in the future when our country will be free of discrimination because of color or race. Most white people thought it was okay even though our Government knew better, but was too slow in resolving the situation. We know it was discrimination.

Today the use of planning and zoning regulations to deprive others of their rights is also discrimination. In Spencer County, people are told by us through our local ordinances and property restrictions if you cannot afford a lot on a black top street you can't have a house and if you can't find a lot approved for a modular or mobile home you can't have one. If we follow the recommendation of the new comprehensive plan, we will say if you can't have curbs and gutters, you can't have a home. Some of this is imposed by individuals, I am guilty, but for the most part they are imposed by local government ordinances. Why do we accept these types of discriminatory practices? They are greatly influenced by the modern day gentry and we like our forefathers think it is okay. To fight against these discriminatory practices would place us in conflict with our families, our friends, and our neighbors. We have convinced ourselves that it is okay just as the first settlers did. Just as the slave owners who often sold their own children with black blood did and just as most of us have at one time or another by convincing ourselves that it was okay or not worth the fight.

I have decided I can no longer stand by and ignore any kind of actions that I believe to be discriminatory in nature. I pray this will not place me in direct conflict with the people to whom I owe so much and love beyond words, my family, my friends, and my neighbors.

Our planning and zoning commission currently has an ordinance for approval before this body. If this ordinance should become law as requested it will have a great impact on the future of this county. You will be told that this is due to a minor oversight that is being corrected. This is not the case. The actions and motives of the planning and zoning commission, its members, employees and council are much greater and will have

a significant impact on Spencer County. Any one willing to believe this untruth, that the objective of the ordinance placed before Fiscal Court is the simple removal of the word "downtown" will be following in the footsteps of our forefathers accepting discriminatory practices. Do as you deem fit. I thank you for allowing me the chance to remove this personal burden by sharing my concerns with you regarding the discrimination that is occurring in our county. Clearly a form of discrimination that if left unchecked and accepted by the community as okay, will have a negative effect for many generations. I rest assured that I have been heard and that the powers that govern this county are now aware of what has unfortunately become a fact of life for those of us that are trying to run businesses outside of Taylorsville.
See attach statement for my beliefs

1.) The commission is biased in favor of the city's position by the choice of both city and county appointees and the fact the commission's attorney is also the city attorney and seems to be as involved in the decision making process of the board as any appointee member. His sole purpose is to render legal advice to the commission. Persons viewing DVD's of meetings can form their own opinion. Some people will feel this is okay. I feel it breeds opportunity for discrimination.

2.) The commission has become a governing body in its own right and is stepping beyond its boundaries without the approval of the Fiscal Court in county matters. The vengeance with which the commission attacks and enforces county planning and zoning issues is very dictatorial in nature. Some people would say this is okay. I know this breeds opportunity for discrimination.

3.) The untruth about the commissions request to remove the word "downtown" is that once you grant them their request you will have created a new zoning classification for the county that was written for downtown. They will waste no time applying this in a hateful and discriminatory manner to the county in an attempt to cover legal damages already committed. Not to worry, they have no legal responsibility that belongs to Fiscal Court. Some people will feel this is okay. I know it breeds opportunity for discrimination.

4.) Planning and zoning at its best is intended for the enhancement of the citizens of the community. However who will state that there are no politics involved in the

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Mrs Kristen Smith 3 minute time had ran out, On motion of Esq. Judd, second by Esq. Goodlett, all members of the Court present voting "Aye", it was hereby ordered by the Court for Mrs. Kristen Smith continue with reading her letter of point and concerns.

Mrs. Linda Greenwell also present with her concerns on the Inter Local Government Agreement between County and City.

Miss Carmen Gaines also present to discuss her concerns with Planning and Zonings Commission and sign ordinances.

Davis Lee Boons Planning and Zoning Commission Board Member, was present to defend the Planning and Zoning Commission.

Elmer Gaines present to discuss the Planning and Zoning Commission Board Members.

Communications From County Judge Executive:

162) Ratify Total Patcher Repairs and Mack Truck:

On motion of Esq. Goodlett, second by Esq. Judd, all members of the Court present voting "Aye" it is hereby ordered by the Court to ratify payment of \$1951.00 that was spent to repair the County Road Patcher and to ratify payment of \$500.00 deductible claim, on fixing the Mack Truck at the County Road Department, this claim estimate was \$5000.00.

3) Kings Mill Extension:

On motion of Esq. Davis, second by Esq. Goodlett, all members of the Court present voting "Aye" it is hereby ordered by the Court to request that Paul Cameron, Road Engineer to look at the Kings Mill Road Extension, and wait for recommendation before the Court takes any action.

Communications/Reports From Members, Other Offices, and Committees:

1) Davis Bill Senate Bill 66 Grants Fund:

On motion of Esq. Davis, second by Esq. Goodlett, all members of the Court present voting "Aye" it is hereby ordered by the Court to approve the request of EMS Chris Limpp, Shift Supervisor, to purchase monitors at the cost of \$12,000.00 out of the Senate bill 66 grant funds.



TO: Spotsylvania Ambulance Service

41 Spots Drive
Lynchburg, VA 24001
Attn: Chris Limpp

Phone: 800-222-7878

ZOLL Medical Corporation

Worldwide Headquarters
7501 10th St
Fitchburg, Massachusetts 01541-0105
(416) 321-9655 Main
(416) 321-0011
(416) 321-0015 Customer Support
(416) 321-0016 FAX

4163210016 05170 V.1

DATE: March 21, 2001

TERMS: Net 30 Days

FROM: Shipping Point

COPIES: Prepay and Add

QTY	UNIT PRICE	AMOUNT	TOTAL PRICE
1	\$20,300.00	\$20,300.00	\$20,300.00
1	\$185.00	\$185.00	\$185.00
1	\$185.00	\$185.00	\$185.00
2	\$130.00	\$260.00	\$260.00
Acceptance of an order for ZOLL Equipment is considered an agreement to purchase the equipment at the time of order. Payment is due upon receipt of the equipment. (ZOLL Medical Corporation)			
			TOTAL \$12,000.00

This order is subject to ZOLL's standard commercial terms and conditions (ZOLL's terms and conditions apply to all orders). Any purchase order (PO) is subject to acceptance in full. Payment will be made by check or ACH. The commercial terms and conditions shall apply to the rate of purchase order. Payment is due upon receipt of the equipment.

- 1. PAYMENT SHALL BE MADE TO ZOLL MEDICAL CORPORATION AT THE TIME OF PURCHASE
- 2. PAYMENT SHALL BE MADE TO ZOLL MEDICAL CORPORATION
- 3. PAYMENT SHALL BE MADE TO ZOLL MEDICAL CORPORATION
- 4. PAYMENT SHALL BE MADE TO ZOLL MEDICAL CORPORATION
- 5. PAYMENT SHALL BE MADE TO ZOLL MEDICAL CORPORATION
- 6. PAYMENT SHALL BE MADE TO ZOLL MEDICAL CORPORATION
- 7. PAYMENT SHALL BE MADE TO ZOLL MEDICAL CORPORATION
- 8. PAYMENT SHALL BE MADE TO ZOLL MEDICAL CORPORATION
- 9. PAYMENT SHALL BE MADE TO ZOLL MEDICAL CORPORATION
- 10. PAYMENT SHALL BE MADE TO ZOLL MEDICAL CORPORATION

Phone: 800-222-7878
FAX: 416-321-0016

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3) Buddy Stump, Sheriff Report:

On motion of Esq. Davis, second by Esq. Goodlett, all members of the Court present voting "Aye" it is hereby ordered by the Court to approve the stripping, dent removal and to upholster the front and back seat of one of the deputies car that was used by Kyle Bennett and upholster the front seat of the car that was used by Larry Goodlett former deputies, at the cost of stripping and dent removal from Jim Williams Body Shop total \$1033.00 and to upholster '3 seats it will be \$200.00 for each seat.

On motion of Esq. Judd, second by Esq. Moody, all members of the Court present voting "Aye", it is hereby ordered by the Court to approve the pay of \$500.00 for liter pickup on hwy 55, to the Second Baptist Church.

On motion of Esq. Judd, second by Esq. Goodlett, all members of the Court present voting "Aye" it is hereby ordered by the Court to pay Down & Dirty \$1200.00 for cleanup of property in which the Fiscal Court will pay in the amount of \$333.56 and the \$866.44 will be paid out of the Solid Waste Grant money.

Old Business

1) Elections Proposal From Harp Enterprises:

On motion of Esq. Davis, second by Esq. Moody, all members of the Court present voting "Aye", it is hereby ordered by the Court to bring back onto the table the proposed election expense from Harp Enterprises.



2409 MERCHANT STREET
LEXINGTON, KENTUCKY 40511
MAILING ADDRESS: P.O. BOX 12630
LEXINGTON, KENTUCKY 40516-2630
PHONE (606) 253-5800
FAX (606) 253-4842

March 2, 2011

Dear County Clerk,

You know you will find a proposal for you and your fiscal court to pay the county's election expenses monthly. It is a good way to budget and to know exactly what the costs for elections are going to be for the next four years. If you decide to participate, you will receive a monthly statement for the next forty eight months. The only time you might be billed any additional fees would apply if the following occur:

- Voting machine purchases and repairs
- Special (unscheduled) elections
- Penalties due to County, State, or Federal mistakes
- Signs, voter books, voting booths
- Shipping charges

Please review and let us know by fax, email, or phone call as soon as possible. Several counties have been doing this for years, and fiscal courts are very pleased to know exactly what they are going to spend for their entire elected terms. Since we are already into March, you will be billed initially for three months and then for each month thereafter.

If you should have any questions, please do not hesitate to give me a call.

Sincerely,

Roger D. Baird, President
Harp Enterprises, Inc.

ES00092.C

HARP ENTERPRISES, INC
 Election Division - Phone (800)432-9282 or (859)253-2601
 Fax (859)233-9457 Email - lbaird@harpenterprisesinc.com

Please return this important billing survey as soon as possible

County Spencer County
 Projected payment for July eight months: \$1,910.00

Please check one:

- Our county would like to participate in monthly billing.
- Our county would like to continue the current billing method.

County Clerk Signature: _____ Date: _____

Comments: _____

On motion of Esq. Judd, second by Esq. Davis, all members of the Court present voting "Aye", it is hereby ordered by the Court not to participate in the monthly billing with Harp Enterprises, to continue on with billing payment, like always.

2) County Clerk Budget:

On motion of Esq. Judd, second by Esq. Goodlett, all members of the Court present voting "Aye", it is hereby ordered by the Court to approve the Clerk's Budget beginning January to March 7th.

On motion of Esq. Judd, second by Esq. Goodlett, all members of the Court voting, Esq. Judd Yes, Esq. Moody No, Esq. Goodlett No, Esq. Davis No, County Judge Karrer Yes, to accept the County Clerks Budget for the remainder of the 2011 Year.

(Signature)

ANNUAL ORDER SETTING MAXIMUM AMOUNT FOR DEPUTIES AND ASSISTANTS

Enacted to KRS 64.530(3). The fiscal court shall fix annually the maximum amount, including fringe benefits, which the office may expend for deputies and assistants...

The fiscal court of _____ County in compliance with state law hereby sets the maximum amount which the _____ (specify county clerk or sheriff) of

_____ County may expend from fees during calendar year _____ at \$ _____ for deputies, assistants and other employees. The maximum amount set includes all amounts paid from fees for:

- Full time salaries and wages
- Overtime wages
- Part time salaries and wages
- Vacation and sick leave
- Health insurance
- Insurance other than health
- Employee match SS/Retirement
- Office
- Other

Motion made by Judd second by Goodlett
 Vote: Esq. Judd (Yes), Esq. Moody (Yes), Esq. Goodlett (Yes)
(Esq. Davis, Esq. Karrer, County Clerk, County Judge, Esq. Judd)

Signature: _____ Fiscal Court Clerk
 Date: _____

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Part Two Receipts	Budget Estimate	1/1 thru 3/31	4/1 thru 6/30	7/1 thru 9/30	10/1 thru 12/31	Total YTD
1. Federal Grants/Reimbursements						
2. State Grants	\$61,514.00					
3. State Fees For Services	\$3,459.00					
4. Local Court	\$1,200.00					
5. Revenue Supplement (< 20,000 pop)						
6. Licenses and Taxes						
7. Motor Vehicle:						
8. Licenses and Tonnages	\$582,283.00					
9. Hinge Tax	\$550,100.00					
10. Tangible Personal Property Tax	\$1,508,389.00					
11. INT RELEASE	\$13,050.00					
12. MISCELLANEOUS	\$5,878.00					
13. Licenses - Motor						
14. Fish and Game	\$4,478.00					
15. Marriage	\$8,053.00					
16.						
17.						
18.						
19.						
20. Deed Transfer Tax	\$91,412.00					
21. Delinquent Taxes	\$280,000.00					
22. Fees Collected for Services						
23. Recordings						
24. Deeds, Easements, and Conveys	\$70,759.00					
25. Real Estate Mortgages	\$51,381.00					
26. Chattel Mortgages & Financing S	\$37,086.00					
27. Powers of Attorney	\$1,635.00					
28. All Other Recordings	\$54,777.00					
29. Charges for Other Services:						
30. Copywork	\$9,763.00					
31. Postage	\$18.00					

ANNUAL ORDER SETTING MAXIMUM AMOUNT
FOR DEPUTIES AND ASSISTANTS

Motion

Be it enacted by the Board of Supervisors of the County of Spencer, Iowa, that the Board of Supervisors shall fix annually the maximum amount of money to be expended for deputies and assistants.

The Board of Supervisors of the County of Spencer, Iowa, in compliance with state law hereby sets the maximum amount which the County Clerk (specify county clerk or sheriff) of

Spencer, Iowa, may expend from fees during calendar year 2011 at

\$ 298,269.00 for deputies, assistants and other employees. The maximum amount as set includes all amounts paid from fees for

- Full time salaries and wages
- Overtime wages
- Part time salaries and wages
- Vacation and sick leave
- Health insurance
- Insurance other than health
- Employee's Social Security Retirement
- Other

Motion made by [Signature], second by [Signature]

Vote by [Signature] (yes), [Signature] (no), [Signature] (yes), [Signature] (no), [Signature] (yes), [Signature] (no) and County Judge [Signature] (yes)

Sign of _____ Fiscal Court Clerk

B100004610

Form For Budget, Cumulative Quarterly Report and Annual Settlement For Calendar Year 2011

County Clerk

Part One - Summary and Reconciliation of All Accounts

Show & Describe ALL ACCOUNTS	Column 1	Column 2	Column 3	Column 4
	2011 Fee Account Budget Estimate	2011 Fee Account Cumulative Actual	Account (NOT FEEL ACCOUNT)	Account (NOT FEEL ACCOUNT)
1. Receipts YTD	\$5,575,784.00			
2. Total Disbursements YTD	\$5,575,784.00			
3. Bank Balance/Excess Fees				
4. Bank Statement Balance				
5. Plus Deposits in Transit				
6. Less Outstanding Checks				
7. Other				
8. Reconciled Bank Balance				
9. Accounts Receivable as of 12/31				
10. Unpaid Obligations as of 12/31				
11. Excess Fees				

Instructions: This report is the required annual fee for the budget and the quarterly report. DUE DATE: After completing the budget enter the columns of Part One, Two and Three, submit to the fiscal court & report to the state level through the office. QUARTERLY REPORT: The quarterly report is cumulative. Show the status of all funds in the official's office during calendar year to date in Part One. The quarterly report is cumulative. Show the status of all funds in the official's office during calendar year to date in Part One. The quarterly report is cumulative. Show the status of all funds in the official's office during calendar year to date in Part One. The quarterly report is cumulative. Show the status of all funds in the official's office during calendar year to date in Part One.

Approved by the fiscal court on the ___ day of _____, 2011.

To the best of my knowledge the information reported herein is accurate and complete.

County Judge / Executive _____ Date _____

Signature of County Clerk _____

Part One Receipts	Budget Estimate	1/1 thru 3/31	4/1 thru 6/30	7/1 thru 9/30	10/1 thru 12/31	Total YTD
1. Federal Grants/Reimbursements						
2. State Grants	\$61,824.00					
3. State Fees for Services	\$5,430.00					
4. Fiscal Court	\$1,300.00					
5. Revenue Supplement (< 20,000 pop)						
6. Licenses and Taxes						
7. Motor Vehicle	\$962,283.00					
8. Recreational and Transfers						
9. Usage Tax	\$950,100.00					
10. Transfer Personal Property Tax	\$1,805,296.00					
11. GEM RELEASE	\$13,000.00					
12. MARIJUANA/COCAINE	\$0,000.00					
13. Licenses/fees						
14. Fish and Game	\$1,475.00					
15. Marriage	\$0,000.00					
16.						
17.						
18.						
19. Debt Transfer Fee	\$91,112.00					
20. Delinquent Fees	\$50,000.00					
21. Fees Collected for Services						
22. Recordings						
23. Deeds, Easements, and Contracts	\$10,739.00					
24. Real Estate Mortgages	\$51,361.00					
25. Chartered Mortgages & Financing	\$0,000.00					
26. Powers of Attorney	\$1,638.00					
27. All Other Recordings	\$54,777.00					
28. Charges for Other Services:						
29. Copypaper	\$9,760.00					
30. Postage	\$18.00					

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FORM NO. 1951

Part Two (continued) Receipts	Budget Estimate	1/1 thru 3/31	4/1 thru 6/30	7/1 thru 9/30	10/1 thru 12/31	Total YTD
32. Officers' Salaries						
33. Candidate Filing Fee	\$1,200.00					
34. ELECTION	\$5,650.00					
35. Interest Earned	\$1,234.00					
36. REFUNDS	\$51,716.00					
37. Total Receipts	\$5,878,784.00					
38. Petty Cash						
39. Deposited Money						
40. Total Receipts	\$5,878,784.00					

Copy the Budget Estimate and the Budget Estimate column in the following table to the Budget Estimate column of the Budget Estimate Form. Do not copy the Budget Estimate column of the Budget Estimate Form to the Budget Estimate column of the Budget Estimate Form.

Part Three Disbursements	Budget Estimate	1/1 thru 5/31	4/1 thru 6/30	7/1 thru 9/30	10/1 thru 12/31	Total YTD
Required Payments						
1. Payments to State Agencies						
2. Motor Vehicle						
3. Licenses & Transfers	\$382,800.00					
4. Garage Tax	\$831,315.00					
5. ADV. TAX DIST.	\$533,688.00					
6. Licenses (miscellaneous)						
7. Fish & Game	\$4,318.00					
8. Marriage Licenses						
9.						
10. Delinquency Tax	\$29,192.00					
11. Legal Process Tax	\$14,755.00					
12. HOUSING TRUST FUND	\$24,624.00					
13. Payments to Fiscal Control Agency						
14. Tangible Personal Property Tax	\$128,155.00					
15. Delinquency Tax	\$16,738.00					
16. Death Transfer Tax	\$48,644.00					
17. Occupational Licenses						
18.						

Part Three (continued) Disbursements	Budget Estimate	1/1 thru 3/31	4/1 thru 6/30	7/1 thru 9/30	10/1 thru 12/31	Total YTD
19. Local Contributions Bill Prop						
20.						
21. Payments to Other Districts Agencies						
22. Tangible Personal Property Tax	\$505,243.00					
23. Delinquency Tax	\$149,414.00					
24.						
25. Payments to Sheriff						
26. CIL TAX	\$24,347.00					
27. Payments to County Attorney	\$37,886.00					
28. Total Required Payments	\$1,002,850.00	\$0.00	\$0.00	\$0.00	\$0.00	
Official Expenses						
29. Personal Services						
30. County Clerk's Gross Salary	\$76,145.00					
31. County Clerk's Expense Allowance	\$5,771.00					
32. Negotiable Gross Salaries	\$507,585.00					
33. Post Office Concessions						
34. Overhaul Costs						
35. Unemployment Insurance						
36. Employee Benefits						
37. Employee Share \$ (7.65%)	\$20,719.00					
38. Employee Share Ret.	\$45,469.00					
39. Employee Paid Health Insurance	\$21,000.00					
40. Other Payroll Disbursements						
41. Unemployment Benefit (HIP & CO)	\$3,674.00					
42. Contracted Services						
43. Fish & Game						
44. LMC EQUIPMENT & REPAIRING	\$50,811.00					
45. TAX RPT. PREP.	\$4,126.00					

81003090.0

Part Three (continued) Disbursements	Budget Estimate	1/1 thru 3/31	4/1 thru 6/30	7/1 thru 9/30	10/1 thru 12/31	Total YTD
16.						
17. LEGAL FEE	\$1,200.00					
18. Supplies & Materials (except)						
19. Office Supplies	\$42,285.00					
20. OFFICE REPAIRS/CLEANING	\$4,820.00					
21. Office Charges (except)						
22. Conventions & Travel						
23. Lines	\$2,258.00					
24. Postage	\$5,296.00					
25. Map SECTION	\$26,000.00					
26. RETUHLIS/REF.CKS/BANK	\$25,088.00					
27. Debt Service (except interest, interest, lease purchase)						
28. Franchise on Sale						
29. Interest						
30. Computer Lease						
31. Capital Outlay (except purchase on credit from leasing in interest)						
32. Office Equipment						
33. Vehicle						
34. PRIOR YEAR TRANSFER	\$1,852.00					
35. CHARGES DIS./BOUNDS	\$89.00					
36. MISCELLANEOUS EXP.	\$2,896.00					
37. Total Official Expenses	\$9,576,784.00					
<small>For offices that do pool, pay first to entity prior to December 31, or estimates over 10,000 in appropriation, show payments made on line 38 at</small>						
38. Payments to County Treasury						
39. Payments to State Treasurer						
<small>Enter total of lines 28, 37, 38 and 39 on line 70</small>						
70. Total Disbursements	\$9,576,784.00					

Copy the figures shown on line 70 to the Budget Estimate column in the following on page 1, column 1, line 1. Copy the figures shown on line 70 to the Total YTD column on line 70 in the Budget column on page 10. Do not enter zeros on page 1, line 1.

Part Two (continued) Receipts	Budget Estimate	1/1 thru 3/31	4/1 thru 6/30	7/1 thru 9/30	10/1 thru 12/31	Total YTD
1. Other (except)						
2. Candidate Filing Fee	\$1,720.00					
3. SECTION	\$5,680.00					
4. Interest Earned	\$1,264.00					
5. REVENUE	\$31,160.00					
6. Total Receipts	\$39,724.00					
7. Petty Cash						
8. Borrowing of Money						
9. Total Receipts	\$39,724.00					

Copy the figures shown on line 9 to the Budget Estimate column in the following on page 1, column 1, line 1. Copy the figures shown on line 9 to the Total YTD column on line 9 in the Budget column on page 10. Do not enter zeros on page 1, line 1.

Part Three Disbursements	Budget Estimate	1/1 thru 3/31	4/1 thru 6/30	7/1 thru 9/30	10/1 thru 12/31	Total YTD
Required Payments						
1. Payments to State (except)						
2. Motor Vehicle						
3. License & Transfer						
4. House Tax						
5. AGV TAX LIST						
6. Mortgage (except)						
7. Fish & Game						
8. Marriage Licenses						
9.						
10. Delinquent Tax						
11. Legal Fees Tax						
12. HOUSING TRUST FUND						
13. Payments to Grand Court (except)						
14. Tangible Personal Property Tax						
15. Delinquent Tax						
16. Debt Transfer Tax						
17. Occupational Licenses						
18.						

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Part Three (continued) Disbursements	Budget Estimate	1/1 thru 3/31	4/1 thru 6/30	7/1 thru 9/30	10/1 thru 12/31	Total YTD
19. Local Court/Tax Del Dept						
20.						
21. Payments to Other Districts (needed)						
22. Taxable Personal Property Tax						
23. Delinquent Tax						
24.						
25. Payments to Sheriff						
26. DMV TAG						
27. Payments to County Attorney						
28. Total Required Payments		30.00	30.00	30.00	30.00	
Official Expenses						
29. Personal Services						
30. County Clerk's Gross Salary						
31. County Clerk's Expense Allowance						
32. Supplies (Personal Services)						
33. Part Time Gross Salaries						
34. Overtime Gross						
35. Unemployment Insurance						
36. Employee Benefits						
37. Employer's State S.S. (7.65%)						
38. Employer's State Int.						
39. Employer Paid Health Insurance						
40. Other Payroll Disbursements						
41. Training (Frings Research & SIO)						
42. Contracted Services						
43. Fish & Game						
44. MICROFILMING & REPRODUCTION						
45. TAX BILL FEES						

County Clerk's Budget and Report

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Part Three (continued) Disbursements	Budget Estimate	1/1 thru 3/31	4/1 thru 6/30	7/1 thru 9/30	10/1 thru 12/31	Total YTD
46.						
47. LEGAL FEES						
48. Supplies & Materials (contract)						
49. Office Supplies						
50. OFFICE REPAIRS/MAINTENANCE						
51. Other Charges (contract)						
52. Conventions & Travel						
53. Drugs						
54. Postage						
55. MISCELLANEOUS						
56. REPAIRS/REPLACEMENT						
57. Debt Service (Interest on bonds and other loans)						
58. Dividend on Note						
59. Interest						
60. Computer Lease						
61. Capital Outlay (includes purchase of equipment, other assets, or interest)						
62. OIG Equipment						
63. Vehicle						
64. FLOOR IN FURNITURE POOL	1531,155.00					
65. CLOSING FEES/BONDS						
66. MISCELLANEOUS EXP.						
67. Total CAPITAL EXPENDITURES						
67. Payments to County Treasurer	451,670.00					
68. Payment TO OTHER DIST / STATE	2,395,526.00					
69. Total CAPITAL EXPENDITURES	53,575,754.00					
70. Total Available						

For office that fee pool, pay fees to county prior to December 31, or counties over 10,000 in population, show payments made on lines 68 and 69.

Enter total of lines 28, 67, 68 and 69 on line 70

53,575,754.00

Copy the Report on line 70 to the Budget Office's column 10 on page 1, column 1, line 1. Copy the Report on line 70 to the Total YTD on line 20 in the Budget Office's report only to line 1, line 15.

New Business:

1) Review And Approval Of Bills & Transfers:
 On motion of Esq. Moody, second by Esq. Davis, all members of the Court present voting "Aye",
 it is hereby ordered by the Court to ratify the approval to pay Louisville Auto in the amount
 of \$691.00 for springs and brakes on one of the Spencer County Road Departments Dump Trucks.

On motion of Esq. Moody, second by Esq. Judd, all members of the Court present voting "Aye",
 it is hereby ordered by the Court to pay all bills and make all transfer, with Esq. Davis
 not voting on the KHS bill.

Page 2 of 4

Spencer County Fiscal Court
 Open Invoice Report
 As of March 31, 2011

General Fund

Invoice Number	Invoice Date	Description	Due Date	Original Amount	Amount Paid	Discount Available	Balance Remaining
Vendor Total: CHZ				155.00	00	00	155.00
Vendor: CLASSPROO	04/09/11	Classic Products Inc					
Invoice#	04/09/11	0150125070 bus engine repair	04/09/11	25.00	00	00	25.00
Vendor Total: CLASSPROO				25.00	00	00	25.00
Vendor: CHZ/CHZ							
Chaz's Garage							
Invoice#	04/09/11	0150154350 tire valve rotors	04/09/11	40.00	00	00	40.00
Invoice#	04/09/11	0150154350 tire valve rotors	04/09/11	40.00	00	00	40.00
Vendor Total: CHZ/CHZ				80.00	00	00	80.00
Vendor: CHZ/CHZ							
Inventory Used Storage							
Invoice#	04/09/11	0150154350 evidence storage	04/09/11	50.00	00	00	50.00
Vendor Total: CHZ/CHZ				50.00	00	00	50.00
Vendor: CHZ/CHZ							
Economic Development Authority							
Invoice#	04/09/11	0150250900 econ dev contrib	04/09/11	2,380.00	00	00	2,380.00
Vendor Total: EDA				2,380.00	00	00	2,380.00
Vendor: EDA/ED							
Fedex Inc							
Invoice#	04/09/11	0150100200 fedex/la cards	04/09/11	2,119.32	00	00	2,119.32
Vendor Total: FEDEX				2,119.32	00	00	2,119.32
Vendor: CHZ/CHZ							
Greenleaf Rollers Inc							
Invoice#	04/09/11	0150475100 sup tax rollers	04/09/11	100.00	00	00	100.00
Vendor Total: CHZ/CHZ				100.00	00	00	100.00
Vendor: HARMON/HA							
Harmon Hardware							
Invoice#	04/09/11	0150005710 office supplies	04/09/11	148.14	00	00	148.14
Invoice#	04/09/11	0150001110 essential supplies	04/09/11	28.74	00	00	28.74
Invoice#	04/09/11	0150100100 auto supplies	04/09/11	7.00	00	00	7.00
Invoice#	04/09/11	0150100100 auto supplies	04/09/11	156.85	00	00	156.85
Invoice#	04/09/11	0150154350 tire valve rotors	04/09/11	28.69	00	00	28.69
Vendor Total: HARMON/HA				369.42	00	00	369.42
Vendor: HARMON/HA							
Shop Supplies for							
Invoice#	04/09/11	0150057510 shop supplies repair	04/09/11	3,000.00	00	00	3,000.00
Invoice#	04/09/11	0150057510 shop supplies repair	04/09/11	25.00	00	00	25.00
Vendor Total: HARMON/HA				3,025.00	00	00	3,025.00
Vendor: HARMON/HA							
Economic Business Society							
Invoice#	04/09/11	0150205150 annual contract	04/09/11	6,767.62	00	00	6,767.62
Vendor Total: HARMON/HA				6,767.62	00	00	6,767.62
Vendor: HARMON/HA							
K.H. Electronics							
Invoice#	04/09/11	0150100100 computer/KOHISprint	04/09/11	5,235.20	00	00	5,235.20
Vendor Total: HARMON/HA				5,235.20	00	00	5,235.20
Vendor: HARMON/HA							
J.W. Meyer Concrete Inc							
Invoice#	04/09/11	0150047500 bus license exempt	04/09/11	25.00	00	00	25.00
Vendor Total: HARMON/HA				25.00	00	00	25.00
Vendor: HARMON/HA							
Hendrick's Tire Center							

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Spencer County Fiscal Court
 Open Invoice Report
 As of March 31, 2011

General Fund

Invoice Number	Invoice Date	Description	Due Date	Original Amount	Amount Paid	Discount Available	Balance Remaining
Vendor Total: HARMON/HA				109.00	00	00	109.00
Vendor: HARMON/HA							
Farm Store East (near Wheelers)							
Invoice#	04/09/11	0150014670 heavy duty links	04/09/11	122.00	00	00	122.00
Vendor Total: HARMON/HA				122.00	00	00	122.00
Vendor: HARMON/HA							
Mills State Electric Inc							
Invoice#	04/09/11	0150004700 electric meter control	04/09/11	20.00	00	00	20.00
Invoice#	04/09/11	0150004700 electric meter control	04/09/11	28.00	00	00	28.00
Invoice#	04/09/11	0150004700 electric meter control	04/09/11	30.00	00	00	30.00
Invoice#	04/09/11	0150004700 electric meter control	04/09/11	15.00	00	00	15.00
Invoice#	04/09/11	0150004700 electric meter control	04/09/11	20.00	00	00	20.00
Vendor Total: MILLS STATE				113.00	00	00	113.00
Vendor: MILLS STATE							
Mills Tech Resources Inc							

1634	04/07/11	0151401403 ems oxygen regulator	04/07/11	71.05	00	00	71.05
Vendor Total: MIPA				71.05	00	00	71.05
Vendor: MIPA							
0016973	04/07/11	0150007910 6000 6000	04/07/11	759.26	00	00	759.26
Vendor Total: MIPA				759.26	00	00	759.26
Vendor: CHILL							
0050205	04/07/11	0150474450 0000 0000	04/07/11	79.99	00	00	79.99
Vendor Total: CHILL				79.99	00	00	79.99
Vendor: RCS COMM							
0402011 000	04/07/11	0151401403 ems radio mobile	04/07/11	110.00	00	00	110.00
Vendor Total: RCS COMM				110.00	00	00	110.00
Vendor: SCFO							
0001040	04/07/11	0151303490 0000 0000	04/07/11	1,760.00	00	00	1,760.00
Vendor Total: SCFO				1,760.00	00	00	1,760.00
Vendor: SHIELD/YWRE							
0402011 000	04/07/11	0151005780 0000 0000	04/07/11	41.95	00	00	41.95
Vendor Total: SHIELD/YWRE				41.95	00	00	41.95
Vendor: SIEGELS							
2407-1	04/07/11	0150154810 0000 0000	04/07/11	415.00	00	00	415.00
2407-2	04/07/11	0150154810 0000 0000	04/07/11	102.98	00	00	102.98
2407-3	04/07/11	0150154810 0000 0000	04/07/11	581.30	00	00	581.30
2407-4	04/07/11	0150154810 0000 0000	04/07/11	569.25	00	00	569.25
2407-5	04/07/11	0150154810 0000 0000	04/07/11	413.35	00	00	413.35
2407-6	04/07/11	0150154810 0000 0000	04/07/11	567.30	00	00	567.30
2407-7	04/07/11	0150154810 0000 0000	04/07/11	737.10	00	00	737.10
Vendor Total: SIEGELS				3,426.29	00	00	3,426.29
Vendor: VASCE							
0401040	04/07/11	0151401403 0000 0000	04/07/11	102.98	00	00	102.98
Vendor Total: VASCE				102.98	00	00	102.98

Spencer County Fiscal Court
Open Invoice Report
As of March 31, 2011

Page 1 of 4

General Fund

Invoice Number	Invoice Date	Description	Due Date	Original Amount	Amount Paid	Balance Available	Balance Remaining
Vendor: ASFO100							
0205211	04/07/11	0151401403 ems radio mobile	04/07/11	40.68	00	00	40.68
Vendor Total: ASFO100				40.68	00	00	40.68
Vendor: AT&T							
0401040	04/07/11	0150605700 0000 0000	04/07/11	161.29	00	00	161.29
0401040	04/07/11	0150605700 0000 0000	04/07/11	556.03	00	00	556.03
0401040	04/07/11	0150605700 0000 0000	04/07/11	146.21	00	00	146.21
0401040	04/07/11	0151151150 0000 0000	04/07/11	74.54	00	00	74.54
0401040	04/07/11	0150605700 0000 0000	04/07/11	181.45	00	00	181.45
0401040	04/07/11	0150605700 0000 0000	04/07/11	250.79	00	00	250.79
0401040	04/07/11	0150605700 0000 0000	04/07/11	949.27	00	00	949.27
0401040	04/07/11	0150705000 0000 0000	04/07/11	117.84	00	00	117.84
0401040	04/07/11	0150605700 0000 0000	04/07/11	57.06	00	00	57.06
0401040	04/07/11	0151005700 0000 0000	04/07/11	142.01	00	00	142.01
0401040	04/07/11	0150605700 0000 0000	04/07/11	72.82	00	00	72.82
0401040	04/07/11	0150605700 0000 0000	04/07/11	131.67	00	00	131.67
0401040	04/07/11	0150605700 0000 0000	04/07/11	50.20	00	00	50.20
0401040	04/07/11	0150605700 0000 0000	04/07/11	74.20	00	00	74.20
0401040	04/07/11	0150605700 0000 0000	04/07/11	29.13	00	00	29.13
0401040	04/07/11	0150605700 0000 0000	04/07/11	135.25	00	00	135.25
0401040	04/07/11	0150605700 0000 0000	04/07/11	92.04	00	00	92.04
Vendor Total: AT&T				3,748.21	00	00	3,748.21
Vendor: C&H SEI							
0401040	04/07/11	0150605700 0000 0000	04/07/11	19.99	00	00	19.99
0401040	04/07/11	0150605700 0000 0000	04/07/11	19.99	00	00	19.99
0401040	04/07/11	0150605700 0000 0000	04/07/11	19.99	00	00	19.99
Vendor Total: C&H SEI				59.97	00	00	59.97
Vendor: CARRIMAN							
01501500	04/07/11	0150000110 0000 0000	04/07/11	95.00	00	00	95.00
01501500	04/07/11	0151000100 0000 0000	04/07/11	17.56	00	00	17.56
01501500	04/07/11	0150000110 0000 0000	04/07/11	31.88	00	00	31.88
01501500	04/07/11	0150000110 0000 0000	04/07/11	46.82	00	00	46.82
01501500	04/07/11	0151010000 0000 0000	04/07/11	111.78	00	00	111.78
Vendor Total: CARRIMAN				306.70	00	00	306.70
Vendor: GISSO							
0401040	04/07/11	0150150700 0000 0000	04/07/11	15.00	00	00	15.00
Vendor Total: GISSO				15.00	00	00	15.00
Vendor: HAY							
0401040	04/07/11	0150605700 0000 0000	04/07/11	25.30	00	00	25.30
0401040	04/07/11	0150605700 0000 0000	04/07/11	39.52	00	00	39.52
0401040	04/07/11	0151005700 0000 0000	04/07/11	70.36	00	00	70.36
0401040	04/07/11	0150605700 0000 0000	04/07/11	19.18	00	00	19.18
0401040	04/07/11	0151010700 0000 0000	04/07/11	18.18	00	00	18.18
0401040	04/07/11	0152105700 0000 0000	04/07/11	17.89	00	00	17.89
0401040	04/07/11	0150150700 0000 0000	04/07/11	12.37	00	00	12.37
0401040	04/07/11	0150605700 0000 0000	04/07/11	12.37	00	00	12.37

UNAPPORTED

032011
0649PM
Spencer County Fiscal Court
Open Invoice Report
As of March 31, 2011

Page 1 of 4
Spencer County

Invoice Number	Invoice Date	Description	Due Date	Original Amount	Amount Paid	Discount Available	Balance Remaining
Report Total: Invoices							11,369.17
Open Credits							00
Less Discounts Available							00
Net Balance Due							31,369.17

*** Report Options ***
 Vendors: ALL
 Invoice Dates: 04/01/2011 to 04/01/2011
 Status: All
 Accounts: ALL
 As Of: Current Date (03/31/2011)
 *** End of Report ***

032011
0649PM
Spencer County Fiscal Court
Open Invoice Report
As of March 31, 2011

Page 1 of 2
Spencer County

Invoice Number	Invoice Date	Description	Due Date	Original Amount	Amount Paid	Discount Available	Balance Remaining
Vendor: ADMOR							
10009	04/05/11	ADMOR 040550 2x4x8x16	04/05/11	2,074.63	00	00	2,074.63
Vendor Total: ADMOR							2,074.63
Vendor: AT&T							
04059	04/05/11	0261055180 land phone	04/05/11	21.41	00	00	21.41
Vendor Total: AT&T							21.41
Vendor: CEDARCOR							
11750	04/05/11	0261054320 pipe stone	04/05/11	1,181.99	00	00	1,181.99
11759	04/05/11	0261054470 pipe stone	04/05/11	1,539.44	00	00	1,539.44
11771	04/05/11	0261054520 pipe stone	04/05/11	1,804.72	00	00	1,804.72
11784	04/05/11	0261054470 pipe stone	04/05/11	1,373.42	00	00	1,373.42
Vendor Total: CEDARCOR							5,899.57
Vendor: CITY							
04060	04/05/11	0261055120 land mobile	04/05/11	21.24	00	00	21.24
Vendor Total: CITY							21.24
Vendor: EMC							
0402113	04/05/11	0261054470 metalworker parts	04/05/11	793.25	00	00	793.25
0402412	04/05/11	0261054470 metalworker parts	04/05/11	8.82	00	00	8.82
Vendor Total: EMC							802.07
Vendor: FERRO							
20111109	04/05/11	0261055120 benefits cards	04/05/11	286.02	00	00	286.02
Vendor Total: FERRO							286.02
Vendor: HARDWARE							
05060	04/05/11	0261054120 electrical supplies	04/05/11	366.39	00	00	366.39
Vendor Total: HARDWARE							366.39
Vendor: HILTI							
534308	04/05/11	0261054470 grinder blades	04/05/11	70.89	00	00	70.89
Vendor Total: HILTI							70.89
Vendor: LOGANS							
04060	04/05/11	0261055120 electrical supplies	04/05/11	993.57	00	00	993.57
Vendor Total: LOGANS							993.57
Vendor: MACE							
04060	04/05/11	0261054470 pipe patch material	04/05/11	951.30	00	00	951.30
Vendor Total: MACE							951.30
Vendor: SEABOARD							
04025983	04/05/11	0261054470 seagull patch	04/05/11	357.21	00	00	357.21
Vendor Total: SEABOARD							357.21
Vendor: ZEC							
04130724	04/05/11	0261054470 red flexblast supplies	04/05/11	42.79	00	00	42.79
Vendor Total: ZEC							42.79

032011
0649PM
Spencer County Fiscal Court
Open Invoice Report
As of March 31, 2011

Page 2 of 2
Spencer County

Invoice Number	Invoice Date	Description	Due Date	Original Amount	Amount Paid	Discount Available	Balance Remaining
Report Total: Invoices							11,367.08
Open Credits							00
Less Discounts Available							00
Net Balance Due							11,367.08

*** Report Options ***
 Vendors: ALL
 Invoice Dates: 04/01/2011 to 04/01/2011
 Status: All
 Accounts: ALL
 As Of: Current Date (03/31/2011)
 *** End of Report ***

Spencer County Fiscal Court
Open Invoice Report
As of March 31, 2011

Page 1 of 1

Invoice Number	Invoice Date	Description	Due Date	Original Amount	Amount Paid	Discount Available	Balance
Vendor: JEWVIPS		Jawah Hospital Supplyville					
2011-1020780	04/04/11	MEDICAL-CLARK	04/08/11	1,712.00	00	00	1,712.00
Vendor Total: JEWVIPS				1,712.00	00	00	1,712.00
Vendor: SIEGFLE		Siegel's Cellulose					
2949-3	04/05/11	paper rolls	04/05/11	107.85	00	00	107.85
2949-2	04/05/11	unshredded paper	04/05/11	177.85	00	00	177.85
Vendor Total: SIEGFLE				285.70	00	00	285.70
Vendor: TYSSEAW		Tyson Schwab Sheet & Weave					
2011-07200634	04/04/11	MEDICAL-CLARK	04/04/11	209.00	00	00	209.00
Vendor Total: TYSSEAW				209.00	00	00	209.00
Report Total: Invoices							2,326.70
Open Credits							00
Less Discounts Available							00
Net Balance Due							2,326.70

* These invoices are on hold.

*** Report Options ***
 Vendors: ALL
 Invoice Dates: 04/01/2011 to 04/01/2011
 Status: All
 Accounts: ALL
 As Of Current Date: 04/01/2011
 *** End of Report ***

ADDITIONAL INVOICES AND TRANSFERS

GENERAL FUND INVOICES/TRANSFERS

David Goodlett	IBR10 training allotment, 1-unit	919.65
Robert Judd	reimburse KCM-A hotel expenses	238.18
Wendie Cheek	reimburse insurance forum expenses	333.34
Christl Greenwell	ethics meeting, 3/31/2011	60.00
Jim Blaise	ethics meeting, 3/31/2011	60.00
City Shelburne	ethics meeting, 3/31/2011	60.00
Paul Dambach	zoning work-session meeting, 3/31/2011	60.00
Orval Snider	zoning work-session meeting, 3/31/2011	60.00
Chon Lewis	zoning work-session meeting, 3/31/2011	60.00
Nathan Lawson	zoning work-session meeting, 3/31/2011	60.00
Anthony Travis	zoning work-session meeting, 3/31/2011	60.00
Chondra Deppen	zoning work-session meeting, 3/31/2011	60.00
Darwyn Pfaff	zoning work-session meeting, 3/31/2011	60.00
Gary Woods	zoning work-session meeting, 3/31/2011	60.00
GIS Computers	ems, sheriff, & zoning computer work	520.00
Fleetone	misc depts March fuel	5,617.83

Transfer \$5000 to 0150154350 (sheriff fuel) from 0102009990 (reserves for transfers)
 Transfer \$3000 to 0150154810 (sheriff uniforms) from 0102009990 (reserves for transfers)
 Transfer \$2000 to 0150155760 (sheriff veh maintenance) from 0102009990 (reserves for transfers)

ROAD FUND INVOICES/TRANSFERS

Fleetone	road dept fuel	668.56
----------	----------------	--------

JAIL FUND INVOICES/TRANSFERS

Fleetone	transport fuels	511.58
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Exp. Balance	General Fund	Road Fund	Jail Fund
01/1/2010	\$196,865.65	\$967,212.33	\$22,368.68
01/1/2010	\$182,268.24	\$532,299.22	\$ 0.00
01/01/2010	\$603,730.11	\$523,455.16	\$18,368.54
1/1/2010	\$935,635.22	\$476,484.02	\$19,373.61
12/31/2010	\$207,985.25	\$153,634.21	\$81,473.61
1/1/2011	\$878,500.87	\$511,252.48	\$37,542.11
2/1/2011	\$978,035.66	\$487,392.42	\$29,627.48
3/1/2011	\$544,836.10	\$104,238.12	\$21,928.31

RECEIVED

2) Occupation Tax Office Envelope Request:
 On motion of Esq. Moody, second by Esq. Judd, all members of the Court present voting "Aye", it is hereby ordered by the Court to approve the request from Stephanie Smith, Occupational License Administrator for envelopes and stamps at the cost of \$248.49.

Hello Judge Carter

While preparing the 1st quarter 2011 payroll forms for mailing, I used my last roll of stamps. With your permission, I'd like to order #10, greyer stamped, return address added window envelopes from the USPS website. A box of 500 will cost \$247.00. This translates into a cost of 0.49 per envelope.

I've compared the cost of purchasing envelopes, stamps & return address labels separately vs the cost of purchasing pre-printed envelopes with return stamps directly from the post office. A box of 500 envelopes with the return address pre-printed purchased from the post office will cost \$247.00. Purchasing a box of 500 envelopes plus 500 #14 stamps costs \$248.49. This is before factoring the cost of address labels plus the time it takes me to place a stamp/return address label on each envelope.

As I understand, there is currently no County credit card available to utilize. With your authorization, I will purchase the envelopes on my personal credit card preparing the proper forms for reimbursement. Please let me know if this meets with your approval.

Thanks,

Stephanie Smith
 Occupational License Administrator
 PO Box 792
 Louisville, KY 40011
 Spencer County, KY
 Phone: 502-477-2497
 Fax: 502-477-2098
 stephanie.smith@spencercountyky.gov
 http://www.spencercountyky.gov
 Office Hours:
 Monday-Friday: 9-5

3) Fire Protection Estimates From Simplex And Louisville Fire:
 On motion of Esq. Moody, second by Esq. Davis, all members of the Court present voting "Aye", it is hereby ordered by the Court to approve the expenditures and pricing what is listed by Louisville Fire and Safety, from Louisville, Kentucky.

MAR 25 09:20 LUISVILLE FIRE & SAFETY 10008 DEERING ROAD



Louisville Fire & Safety
 A Division of Louisville Fire Protection, LLC

10008 Deering Road
 Louisville, KY 40272
 Office (502) 933-5675
 Fax (502) 933-9117
 M-F 9:00am - 5:00pm

RECEIVED
 MAR 25 2011
 EDWIN STUMP
 SPENCER COUNTY SHERIFF

March 24, 2011

Spencer County Kentucky
 Attn: Sheriff Buddy Stump
 18 E. Main Street
 Louisville, KY 40071

Thank you for allowing Louisville Fire & Safety to submit pricing for the service requirements on your fire equipment. We are a full service fire protection and safety company specializing in extinguishers, training, emergency lighting, automatic systems, fire alarms, security camera installation, access control, first aid and safety supplies. We take pride in our professional, personal service. With over 47 years experience, you can be assured that all work is done in strict accordance with NFPA standards. The pricing listed below should represent significant savings to your facilities. All pricing is per unit.

Our Service Guarantee

Louisville Fire & Safety offers 24 hour emergency service to all of our customers large or small. When you make an after hours service call, we will be on your property within 4 hours or the service will be discounted 50%.

Annual Service - This includes inspection, checking hydrotest dates, and re-tagging.

AH pressurized hand portable extinguishers	1.70
Functional test of all emergency lighting fixtures	No charge
Identify wall/drainage inspection	60.00

Becheage	
Dry chemical extinguishers	
20lb	28.00
10lb	15.00
5lb	10.90
2.5lb	9.50

Cylinder/dioxide extinguishers	
10lb	13.00
15lb	16.00
20lb	19.00

(continued next page)

ENCLOSURE

Spencer County Kentucky (continued)

Recharge (cont.)	
Halon 1211 extinguishers	16.20 / lb
Clean agent FE-26 extinguishers	25.40 / lb
Hydrotest	
All pressurized hand portable extinguishers	16.00
Purchase	
2.5lb ABC dry chemical extinguishers	33.00
5lb ABC dry chemical extinguishers w/ vehicle bracket	44.00
10lb ABC dry chemical extinguishers	79.00
20lb ABC dry chemical extinguishers	133.00
Exit / emergency light batteries (up to 12 amp)	28.90 installed
Exit light bulbs	1.20
LEET exit light retro-fit bulbs (25 year warranty)	18.00 per pair

I think that you will find our pricing straightforward and easy to understand. We do not have any hidden fees such as mobile service charges or unnecessary parts or repairs. Our business principle is simple, give a dollar's worth of service for a dollar.

All listed pricing will remain firm for a period of two years. Should you have any questions or require additional pricing, please do not hesitate to call. You may reach me toll free at 1-866-336-3673.

Sincerely,

Eric Cox

Eric Cox
Vice President

2011 03-25 12:28



LOUISVILLE FIRE & SAFETY
A Division of Louisville Fire Protection, LLC.

10908 Dearing Road
Louisville, KY 40272
Office (502) 933-3675
Fax (502) 933-9133
All Fire Insurance Services

March 25, 2011

Spencer County Kentucky
Attn: Sheriff Buddy Stump
13 E. Main Street
Taylorville, KY 40071

After completing a walkthrough inspection of all county buildings, please find listed below the services due as well as recommendations.

Sheriff Office
Hydrotest / recharge (1) 10lb ABC dry chemical ext. @ \$31.00
6 Yr. maint / recharge (1) 10lb clean agent ext @ \$109.00
(1) new combo exit sign @ 161.00
(9) exit / emergency light batteries @ 28.90 ea
(16) 5lb ABC dry chemical ext. for cruisers @ \$24.00 ea
(1) pair LEET 35 year exit bulbs @ \$18.00
Total: \$124.10

Annex
Re-tag (5) extinguishers @ \$1.70 ea.
(4) exit / emergency light batteries @ 28.90 ea.
Total: \$124.10

Courthouse
Re-tag (13) extinguishers @ \$1.70 ea.
(12) exit / emergency light batteries @ 28.90 ea.
Hydrotest / recharge (1) 5lb ABC dry chemical ext. @ \$26.90
Total: \$295.80

318 Building
No extinguisher present
No emergency / exit signs present
Install (1) 10lb Clean agent ext. @ \$322.00
(2) new combo exit sign @ 161.00 ea.
Total: \$644.00

March 25, 2011 (continued)

Zoning Building
Re-ang (2) extinguishers @ \$1.70 ea.
(5) exit / emergency light batteries @ 28.90 ea.
Total: \$146.90

Road Department
Re-ang (2) extinguishers @ \$1.70 ea.
6 Yr. maint / recharge (1) 20lb clean agent ext. @ \$28.00
(2) 5lb ABC dry chemical ext. for vehicles and equipment @ \$44.00 ea.
Total: \$1042.40

I was called away for an emergency call before being able to survey the last buildings. I will get to those today. I also have access to the Safariland basket weave belts you asked about. If you have a style number and size, I can get you a quote and availability.

Have a great day!

Eric Cox

Eric Cox
Vice President

4) Brad And/Or Bobby Smith:

Brad Smith & Bobby Smith came before the Court with question's on Planning And Zoning Laws and Ordinances on business signs, their letter is as follows:

Bobby Smith and Family were in attendance of the April 4, 2011 Fiscal Court Meeting trying to protect their property rights. The Planning And Zoning has introduced an Ordinance that will place us in the Old Downtown Classification upon approval. This classification was designed for the Downtown district of Taylorsville and is being requested to cover illegal actions taken against Einar's B. F.

Brad Smith
Bobby Smith

5) Zoning, Readings And Recommendations From Julie Sweazy:
There was no zoning for this meeting.

On motion of County Judge Karrer, second by Esq. Goodlett, all members of the Court present voting "Aye", it is hereby ordered by the Court for table the item of Ordinance 17, Proposed Amendment for 2nd reading.

PUBLIC NOTICE

Notice is hereby given that the Fiscal Court of Spencer County on the 4th day of April, 2011 at its meeting will consider the following ORDINANCE for second reading and adoption:

1. Proposed Ordinance 17-2011 series pertaining to Amending Article VI, Sections 602, 602.1, 602.2, 602.3, 602.4, 602.6, 603.1, 604.1 and 605.1 of the Taylorsville-Spencer County Joint Planning and Zoning Regulation. Proposing to amend the subtitle of B-1, Downtown Business District to read as B-1, Business District in the Commercial Zoning Classifications.

Attest: Judy Puckett
Clerk Spencer County Fiscal Court

Bill Karrer
Spencer County Judge/Executive

6) Ordinance 15 (2011 Series) Budget Amendment 2, Second Reading And Adoption:
 On motion of Esq. Davis, second by Esq. Moody. all members of the Court present voting "Aye",
 it is hereby ordered by the Court to approve Ordinance 15, Relating To The Annual Budget And
 Amendment Thereof, for second reading and adoption.

Spencer County, Kentucky
 Ordinance 15
 Fiscal Year 2011 Series

An Ordinance Relating to the Annual Budget and Amendment Thereof

Whereas, the County of Spencer has realized unbudgeted receipts from the General and
 Road Funds:

Be It Ordained by the Fiscal Court of Spencer County, Commonwealth of Kentucky:

Section One. The budget for fiscal year 2010/2011 is amended to:

A. Increase/decrease the receipts of the General and Road Funds by \$634,630.00 to include
 unanticipated receipts from:

Account Code	Description	by	Amount
01-1101 ✓	Real Property Tax		\$ 22,000.00 ✓
01-4102 ✓	Personal Property Tax		\$ 45,000.00 ✓
01-4114 ✓	Occupational Licenses		\$ 20,800.00 ✓
01-4548 ✓	Clerk Fee Pooling		\$ 250,000.00 ✓
01-4549 ✓	Sheriff Fee Pooling		\$ 9,700.00 ✓
01-4600 ✓	Dead Animal Removal		\$ 7,500.00 ✓
01-4713 ✓	Recycling		\$ 4,750.00 ✓
01-4777 ✓	Reimbursements-fire dept. KACo		\$ 30,650.00 ✓
01-4728 ✓	Donations		\$ 1,900.00 ✓
01-4731 ✓	Miscellaneous-lighting, City match		\$ 59,500.00 ✓
01-9901 ✓	Surplus		\$ 10,400.00 ✓
02-4518 ✓	County Road Aid		\$ 35,870.00 ✓
02-4706 ✓	Sale of Road Materials		\$ 7,780.00 ✓
02-4727 ✓	Reimbursements		\$ 8,230.00 ✓
03-4731 ✓	Miscellaneous-Campbranch bond		\$ 91,000.00 ✓
02-1801 ✓	Interest		\$ 550.00 ✓

B. Increase/decrease expenditure account(s):

Account Code	Description	by	Amount
01501010 ✓	County Clerk Salary		\$ 40,000.00
01501020 ✓	Deputy Clerks Salaries		\$ 80,000.00
015010450 ✓	County Clerk Office Expenses		\$ 25,000.00
0151363480 ✓	Communications Equipment		\$ 30,000.00
018099710 ✓	Capitol Projects		\$ 25,000.00
019000900 ✓	Reserves for Transfer		\$ 256,200.00
010002020 ✓	Non-Hazardous Retirement		\$ 38,000.00
020105440 ✓	Materials and Supplies		\$ 51,230.00
026105720 ✓	Road Project-Campbranch bond		\$ 92,200.00

Section Two. The amounts adjusting the receipt and expenditure accounts in Section One are
 for governmental purposes.

Approved for first reading by the Fiscal Court of Spencer County, Kentucky this 21st day of
March, 2011.

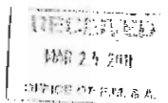
Bill Korman
 Spencer County Judge Executive

Approved as to form and classification this 25th day of March, 2011.

Jason W. Weigelt
 State Local Finance Officer

The budget ordinance amendment was duly adopted by the Fiscal Court of Spencer County,
 Kentucky, this _____ day of _____, 2011.

Spencer County Judge Executive



E10035043.0

7) Ordinance 16 (2011 Series) Ethics Code, Second Reading And Adoption: On motion of Esq. Moody, second by Esq. Davis, all members of the Court present voting "Aye", it is hereby ordered by the Court to approve ORdinance No. 16, An ordinance establishing a code of ethics to guide the conduct of elected and appointed officers and employees of Spencer County, including members of the Fiscal Court, Sheriff, County Attorney, County Clerk, Jailor, Coroner, And Constables, for second reading and adoption.

TABLE OF CONTENTS :

CODE OF ETHICS FOR THE COUNTY OF SPENCER

SECTION 1.	Findings, declarations
SECTION 2.	Definitions
SECTION 3	Standards of Conduct (Conflicts of Interest in General)
SECTION 4	Standards of Conduct (Conflicts of Interest in Contracts)
SECTION 5	Receipt of Gifts
SECTION 6	Use of county property, equipment and personnel
SECTION 7	Misuse of confidential information
SECTION 8	Honoraria
SECTION 9.	Political Activities
SECTION 10.	Performance of official functions
SECTION 11.	Financial Disclosure
SECTION 12.	Deposition
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SECTION 14:	Powers and duties of the commission
SECTION 15:	Advisory opinion: from commission
SECTION 16	Complaint procedure
SECTION 17:	Initial inquiry
SECTION 18.	Preliminary investigation
SECTION 19:	Adjudicatory proceedings
SECTION 20	Appeals
SECTION 21	General provisions
SECTION 22.	Severability section
SECTION 23.	When effective

Spencer County, Kentucky
Ordinance No. 16
Fiscal Year 2011 Series

WHEREAS, the Spencer County Fiscal Court has the authority pursuant to KRS Chapter 63, to enact an ordinance establishing a code of ethics to guide the conduct of elected and appointed officers and employees of Spencer County, including members of the Fiscal Court, Sheriff, County Attorney, County Clerk, Jailor, Coroner, and Constables; and,

WHEREAS, public office and employment are a public trust and government has a duty both to provide their citizens with standards by which they may determine whether public duties are being faithfully performed, and to apprise their officers and employees of the behavior which is expected of them while conducting their public duties; and,

WHEREAS, it is the purpose of this section of the Spencer County Administrative Code to provide a method of assuring that the standards of ethical conduct for local government officers and employees shall be clear, consistent, and uniform in their application, and to provide local officers and employees with advice and information concerning possible conflicts of interest which might arise in the conduct of their public duties.

NOW THEREFORE, BE IT ORDAINED by the Fiscal Court of Spencer County, Commonwealth of Kentucky, as follows:

SECTION 1: FINDINGS, DECLARATIONS

The Spencer County Fiscal Court finds and declares that:

- A. Public office and employment are a public trust;
- B. The ability and quality of representative democracy depend upon the public's confidence in the integrity of its elected and appointed representatives whether compensated or not;
- C. Whenever the public perceives a conflict between the private interests and the public duties of a government officer or employee, that confidence is impaired;
- D. Local government has the duty both to provide the citizens of Spencer County with standards by which they may determine whether public duties are being faithfully performed, and to apprise their officers and employees of the behavior which is expected of them while conducting their public duties; and
- E. It is the purpose of this chapter to provide a method of ensuring that standards of ethical conduct and financial disclosure requirements for local government officers and employees shall be clear, consistent, uniform in their application, and enforceable with advice and information concerning possible conflicts of interest which might arise in the conduct of their public duties.

SECTION 2: DEFINITIONS:

1. a private employer;
 2. a general or limited partnership, or a general or limited partner within the partnership;
 3. a corporation that is family-owned or in which all shares of stock are closely held, and the shareholders, owners, and officers of such a corporation;
 4. a corporation, business association, or other business entity in which the county government officer or employee serves as a compensated agent or representative.
- B. Business organization means any corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, organization, self-employed individual, holding company, joint stock company, limited liability corporation, receivership, trust, professional service corporation, or any legal entity through which business is conducted for profit;
- C. Candidate means an individual who seeks nomination or election to a county government office. An individual is a candidate when the individual:
1. files a notification and declaration of nomination for office with the County Clerk or Secretary of State; or
 2. is nominated for office by a political party under KRS 118.105, 118.115, 118.325, or 118.760.
- D. County government agency means any board, commission, authority, non-stock corporation, department, or other entity formed by the county government or combination of local governments and includes any employee thereof.
- E. County government employee means any person, whether compensated or not, whether full time, part time, or seasonal, employed by or serving the county government, but shall not mean any employee of the local school board or any person using paid work release or performing community service under Judicial Order.
- F. County government officer means any person, whether compensated or not, whether full time or part time, who is elected to any county government office, or any person who serves as a member of the governing body of any county government agency or special taxing or non-taxing district.
- G. Family member means a spouse, parent, child, brother, sister, grandparent, grandchild, father-in-law, mother-in-law, brother-in-law, sister-in-law, son-in-law, daughter-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, step-sister, half brother, or half sister.
- H. Rule of necessity means the county government, agency, or district may make or enter into a contract in which an officer, employee, family member, or a business associate has an economic interest if:
1. The nature of the transaction and the nature of the interest is publicly disclosed on record prior to the time it is engaged in, and
 2. A specific finding is made by the county government, agency, or district and entered on the official record of the governing body that, notwithstanding the conflict, it is in the best interest of the local government because of limited supply, price, or discontinued emergency.

SECTION 3: STANDARDS OF CONDUCT

Spencer County officers or employees under the jurisdiction of this section shall comply with the following provision:

- A. No county government officer or employee or member of his/her immediate family shall have an interest in a business organization or engage in any business, transaction, or professional activity, which is in conflict with the proper discharge of his/her duties in the public interest.
- B. No county government officer or employee shall use or attempt to use his or her position to secure unwarranted privileges or advantages for himself/herself;

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- C. No county government officer or employee shall act in his/her official capacity in any manner which he/she, a member of his/her family, or a business organization in which he/she has an interest, has a direct or indirect financial or personal involvement that might be expected to impair his/her objectivity or independence of judgment.
- D. No county government officer or employee shall undertake any employment or service, compensated or not, which might be expected to prejudice his/her independence of judgment in the exercise of his/her official duties.
- E. No county government officer or employee, member of his/her family, or business organization in which he/she has an interest, shall solicit or accept any gift, favor, loan, political contribution, service, promise of future employment, or other thing of value based upon an understanding that the gift, favor, loan, political contribution, service, promise, or other thing of value was given or offered for the purpose of influencing him/her directly or indirectly, in the discharge of his/her official duties. This provision shall not apply to the solicitation or acceptance of contributions to the campaign of an unannounced candidate for elective office as governed by the Kentucky Revised Statutes.
- F. No county government officer or employee shall be prohibited from giving or receiving an award publicly presented in recognition of public service, or hosting, including travel and expenses, entertainment, meals or refreshments furnished in connection with public events, appearance, ceremonies or fact finding trips related to official county government business.
- G. No duly authorized county government official shall be prohibited from accepting a gratuity for solemnizing a marriage.
- H. No county government officer or employee shall use, or allow to be used, his/her public office or employment, or any information, not generally available to the members of the public, which he/she receives or acquires in the course of and by reason of his/her office or employment, for the purpose of securing financial gain for himself/herself, any member of his/her family, or any business organization with which he/she is associated except under the "rule of necessity".
- I. No county government officer, county employee, or business organization a county government officer or employee has an interest in shall represent any person or party other than the local government in connection with any cause, proceeding, application or other matter pending before any agency in the local government in which he/she serves.
- J. No county government officer or employee shall be deemed in conflict with these provisions if by reason of his/her participation in the enactment of any ordinance, regulation or other matter required to be voted upon, which falls under the "rule of necessity".
- K. No elected county government officer shall be prohibited from seeking an inquiry for information of providing assistance on behalf of a constituent, if no fee, reward, or other thing of value is promised to, given to, or accepted in return by the officer or a member of his/her immediate family, whether directly or indirectly.
- L. Nothing in this section shall prohibit any county government officer, county employee, or members of his/her family, from representing himself/herself or themselves in negotiations or proceedings concerning his/her or their own interests.
- M. No county government officer or employee shall use official authority, influence, or coercion toward an official or employee of county government at any time for political activity or to effect a violation of election result.
- N. No county government officer or employee shall attempt, directly or indirectly, to coerce a person in county government to lend, pay, or contribute anything of value to a group or person for any political activity or purpose.
- O. No county government officer or employee shall use personnel, property, equipment, or resources of the county government for personal benefit, any political activity, convenience, or profit, nor for the benefit, convenience or profit for any family members, relatives, business associates or friends.
- P. No official or employee of county government shall receive any requisites of office that are not offered equally to each and every citizen, including but not limited to vehicles, neighborhood development, or other discretionary grant funds.

SECTION 4: CONFLICT OF INTEREST IN CONTRACTS

No officer, employee, board or commission member of the county or any county agency shall directly or through others undertake, execute, hold, or enjoy, in whole or in part, any contract made, entered into, awarded, or granted by the county or county agency, except as follows:

1. The prohibition in subsection (a) of this section shall not apply to contracts entered into before an elected officer filed as a candidate for county office, before an appointed officer was appointed to a county or county agency office, or before an employee was hired by the county or county agency. However, if any contract entered into by a county, or county agency, officer, employee, board or commission member, before he or she became a candidate, was appointed to office, or was hired as an employee, is renewable after he or she becomes a candidate, assumes the appointed office, or is hired as an employee, then the prohibition in subsection (A) of this section shall apply to the renewal of the contract.

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2. The prohibition in subsection (a) of this section shall not apply if the contract is awarded after public notice and competitive bidding, unless the officer, employee, board or commission member is authorized to participate in establishing the contract specifications, awarding the contract, or managing contract performance after the contract is awarded. If the officer, employee, board or commission member has any of the authorities set forth in the preceding sentence, then the officer, employee, board or commission member shall have no interest in the contract, unless the requirements set forth in subpart (3) below are satisfied.
3. The prohibition in subsection (a) of this section shall not apply in any case where the following requirements are satisfied:
 - a. The specific nature of the contract transaction and the nature of the officer's, employee's, board or commission member's interest in the contract are publicly disclosed at a meeting of the governing body of the county or county agency and refrain from participating in voting.
 - b. The disclosure is made a part of the official record of the governing body of the county or county agency before the contract is executed.
 - c. A finding is made by the governing body of the county or county agency that the contract with the officer, employee, board or commission member is in the best interest of the public and the county or county agency because of price, limited supply, or other specific reasons.
 - d. The finding is made a part of the official record of the governing body of the county or county agency before the contract is executed.

SECTION 5: RECEIPT OF GIFTS.

No officer, employee, board or commission member of the county or any county agency shall directly or indirectly through any other person or business, solicit or accept any gift having a fair market value of more than one hundred dollars (\$100), whether in the form of money, service, loan, travel, entertainment, hospitality, thing or promise, or any other form, under circumstances in which it could reasonably be inferred that the gift was intended to influence, or could reasonably be expected to influence the officer, employee, board or commission member in the performance of his or her public duties.

Exceptions:

- a. Unsolicited gratuities, regardless of value, when such gratuities are offered to and remain the property of the County or County Agency.
- b. Admission to events to which they are invited in their official capacity as a Public Official.
- c. Campaign contributions or services in connection with their political campaign.
- d. Loans made in the ordinary course of business.
- e. Gifts received from family members.
- f. An occasional non-monetary award publicly presented by a nonprofit organization for performance of public service.

SECTION 6: USE OF COUNTY PROPERTY, EQUIPMENT AND PERSONNEL.

No officer, employee, board or commission member of the county shall use or permit the use of any county time, funds, personnel, equipment, or other personal or real property for the private use of any person, unless:

- a. The use is specifically authorized by a stated county policy, or
- b. The use is available to the general public, and then only to the extent and upon the terms that such use is available to the general public.

SECTION 7: MISUSE OF CONFIDENTIAL INFORMATION.

No officer, employee, board or commission member of the county or any county agency shall intentionally use or disclose information acquired in the course of his or her official duties, if the primary purpose of the use or disclosure is to further his or her financial interest or that of another person or business. Information shall be deemed confidential, if it is not subject to disclosure pursuant to the Kentucky Open Records Act, KRS 61.872 to 61.884, at the time of its use or disclosure.

SECTION 8: HONORARIA.

- A. No officer, employee, board or commission member of the county or any county agency shall accept any compensation, honorarium or gift with a fair market value greater than \$100 in consideration of an appearance, speech or article unless the

appearance, speech or article is both related to the officer's, employee's, board or commission member's activities outside of government service and is unrelated to the officer's, employee's, board or commission member's service with the county.

- B. Nothing in this section shall prohibit an officer, employee, board or commission member of the county from receiving and retaining from the county or on behalf of the county actual and reasonable out-of-pocket expenses incurred by the officer, employee, board or commission member in connection with an appearance, speech or article, provided that the officer, employee, board or commission member can show by clear and convincing evidence that the expenses were incurred or received on behalf of the county or county agency and primarily for the benefit of the county and not primarily for the benefit of the officer, employee, board or commission member or any other person.

SECTION 9: POLITICAL ACTIVITIES.

No appointment to, or employment in, any county government agency position shall be dependent on political activity. No Public Official shall require any person to engage in any political activity as a condition of appointment or employment.

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SECTION III: PERFORMANCE OF OFFICIAL FUNCTIONS.

Public Officials shall:

1. Discharge public duties without favor, compensation or reward, except from the county or agency involved;
2. Not use or attempt to use their official position to secure unwarranted personal or financial gain, or to avoid consequences of illegal acts, for themselves or others;
3. Not act in their official capacity in any matter when the Public Official or a family member has a direct or indirect financial or personal involvement, or when the Public Official has reason to know that their action may result in a personal financial benefit for the Public Official or family member.

SECTION II: FINANCIAL DISCLOSURE

- A. The following individuals shall be required, as defined in this chapter, to file a financial disclosure statement:
 1. Elected officials - annually;
 2. Candidates for elected office - within 21 days of filing;
 3. Officers and other employees with procurement authority exceeding five hundred (\$500.00) per purchase - annually;
 4. Members of boards and commissions in which this chapter of the Spencer County Administrative Code applies including any employee of these boards and commissions with procurement authority exceeding five hundred dollars (\$500.00) per purchase - annually.
- B. The financial disclosure statement shall be on a form setting forth the above (copy of the form is attached to this chapter as an appendix). The financial disclosure statement shall be filed annually by those subject to the reporting provisions of this chapter no later than February 28.
- C. Candidates shall be required to file the statement no later than twenty one (21) days after the filing date or the date of their nomination by a political party.
- D. Newly-appointed officers, board and commission members, shall file the required financial disclosure form within 30 days of such appointment, and thereafter annually by February 28.
- E. The financial disclosure statement shall contain the following information:
 1. Name of filer;
 2. Current business address, business telephone number and home address of the filer;
 3. Title of the filer's public office or office being sought;
 4. Occupations of filer and spouse;
 5. Positions held by the filer, spouse, or minor children in any business organization or nonprofit entity from which the filer, spouse, or minor children received compensation in excess of \$10,000 during the preceding calendar year, and the name, address, and telephone number of the business organization or nonprofit entity;
 6. Name, address, and telephone number of each source of income of the filer, spouse, or minor children which exceeds \$10,000 during the preceding year;
 7. Name, address, and telephone number of each business organization in which the filer, spouse, or minor children had an interest of \$10,000 at fair market value or five percent (5%) ownership interest or more during the preceding year;
 8. The location and type (commercial, residential, agricultural) of all real property, other than the filer's primary residence, in which the filer, spouse, or minor children had an interest of \$10,000 or more during the past year.
- F. Each statement shall be signed and dated by the individual filing the statement of financial interest. Signing a financial disclosure statement knowing it is false shall be a Class A misdemeanor.
- G. All financial disclosure statements shall be open records and access to them shall be governed by the Kentucky Open Records Law.
- H. Financial disclosure statements shall be filed with the County Clerk, who shall forward a copy of such documents to the Spencer County Ethics Commission within 48 hours of the filing deadline. Following review or final disposition by the Spencer County Ethics Commission, the documents shall be maintained by the Spencer County Clerk.

SECTION III: NEPOTISM

- A. After the effective date of this administrative code of ethics, a family member as defined in Section II of this chapter on ethics of a county officer shall not be initially employed to a full time position in any governmental agency or special district in which the county officer serves. This provision is subject to appeal to the ethics commission. This provision shall not apply to a county officer's family member who, after the effective date of this administrative code of ethics, on the date of the county officer's election or appointment, has been employed for at least twelve (12) months in the same county agency in which the county officer serves. This provision shall not apply to part-time, seasonal, or emergency employees who work less than 170 days per year.

SECTION 13: SPENCER COUNTY ETHICS COMMISSION - ESTABLISHMENT

- A. There is hereby established the Spencer County Ethics Commission
- B. The Spencer County Ethics Commission shall consist of three (3) members
- C. The members of the Spencer County Ethics Commission shall be citizens who hold no public office or position.
- D. Members of the Spencer County Ethics Commission shall be at least 21 years of age and shall not have been convicted of a misdemeanor within the previous two (2) years, nor convicted of a felony at any time.
- E. Members of the Spencer County Ethics Commission shall be residents of Spencer County, Kentucky.
- F. Members of the Spencer County Ethics Commission shall be nominated by the Spencer County Judge/Executive and confirmed by the Fiscal Court of Spencer County. Nominations may be suggested to the County Judge/Executive by any resident of Spencer County.
- G. Those members of the Spencer County Ethics Commission serving at the time of the adoption of this administrative code of ethics shall continue to serve until the expiration of their current term of appointment.
- H. Vacancies on the Spencer County Ethics Commission shall be filled within sixty (60) days by the County Judge/Executive with the approval of the Fiscal Court. If a vacancy is not filled by the County Judge/Executive and approved by the Fiscal Court, the remaining members of the Spencer County Ethics Commission shall fill the vacancy subject to the approval of the fiscal court. All vacancies shall be filled for the remainder of the unexpired term.
- I. The terms of members of the Spencer County Ethics Commission shall be for a period of four years. A commission member may serve no more than two (2) consecutive terms.
- J. Members of the Spencer County Ethics Commission shall be compensated the same amount as other board members and shall be reimbursed by the county for necessary expenses incurred in the performance of their duties under this chapter.
- K. Members of the Spencer County Ethics Commission shall annually elect a chairperson. The election of the chairperson shall be held in February of each year and the newly elected chairperson will assume his/her duties on March 1st.
- L. The Spencer County Ethics Commission shall meet after March 1st and before March 31st of each calendar year to review financial disclosure statements that are filed by county officials and employees.
- M. The Spencer County Ethics Commission shall meet within 30 days of the final filing date for elected officials for the purpose of reviewing the required financial disclosure statements of candidates.
- N. Other meetings may be held as necessary to carry out the provisions of this chapter by the Chairperson of the Spencer County Ethics Commission. If the Chairperson is unable to call a meeting or refuses to call a meeting, the two remaining members may call a meeting by notifying the County Judge/Executive and Spencer County Fiscal Court in writing of the time and place a meeting will be held.
- O. The Spencer County Fiscal Court shall provide the Spencer County Ethics Commission with necessary facilities for the conduct of its business and the preservation of its records, and shall supply equipment and supplies as may be necessary.
- P. All necessary expenses incurred by the Spencer County Ethics Commission and its members shall be paid, upon certification of the chairperson, by the Spencer County Fiscal Court within the limits of funds appropriated by the Spencer County Fiscal Court by annual or emergency appropriations for these purposes. Spencer County Fiscal Court retains the right to withhold payment for good cause shown.

SECTION 14: POWERS AND DUTIES OF THE COUNTY ETHICS COMMISSION:

The Spencer County Ethics Commission shall have the following powers and duties.

- A. To receive, hear, and review complaints and hold hearings with regard to possible violations of the county ethics provisions or financial disclosure requirements by local government officials or employees serving the county;
- B. Administer oaths, compel the attendance of witnesses and the production of papers, books, documents, and testimony; and to have the deposition of witnesses taken in the

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manner prescribed by the Kentucky Rules of Civil Procedure for taking depositions in civil actions;

- C. To render advisory opinions to local government officers or employees serving the county as to whether a given set of facts and circumstances would constitute a violation of any provision set forth in this chapter on ethics and financial disclosure;
- D. To forward to the Spencer County Attorney, Attorney General of Kentucky, Commonwealth Attorney or other government bodies any information concerning violations of the county provisions of ethics and financial disclosure by local government officers or employees serving the county which may become the subject of criminal prosecution or which may warrant the institution of other legal proceedings by such officials;
- E. Prescribe forms for reports, statements, notices, and other documents required by this chapter. The Fiscal Court shall pay the costs of producing the forms prescribed by the Spencer County Ethics Commission. The prescribed forms shall be maintained by the Office of the County Judge/Executive and available to the public or those covered by the provisions of this chapter upon request.
- F. Determine whether the required financial disclosure statements and reports have been filed, and, if filed, whether they conform with the requirements of this chapter. The Spencer County Ethics Commission shall give notice within fifteen (15) days from the Commission's review to a filer their deficiencies in and/or corrections to a required report need to be filed with the Spencer County Ethics Commission. Such notice shall be in writing and explained to the filer in detail by the Spencer County Ethics Commission. The filer of such a report found to be deficient shall have fifteen (15) days to resubmit the required report to the Spencer County Ethics Commission.
- G. Retain private counsel with pre-approval by the fiscal court at the expense of the county.
- H. To enforce the provisions of this chapter on ethics and financial disclosure with regard to local government officers and employees serving the county and to impose penalties for the violation thereof as are authorized by this chapter.

SECTION 15: REQUEST FOR ADVISORY OPINION FROM ETHICS COMMISSION

A local government officer or employee serving the county may request and obtain from the Spencer County Ethics Board an advisory opinion as to whether any proposed activity or conduct would in its opinion constitute a violation of the provisions of this chapter. Advisory opinions of the Spencer County Ethics Commission shall not be made public.

SECTION 16: COMPLAINT PROCEDURE: PRELIMINARY INVESTIGATIONS

- A. Any person over the age of 18 may file a complaint alleging a violation of this chapter on ethics and financial disclosure subject to the following requirements:
 1. The alleged violation must have occurred, in whole or in part, within twelve (12) months preceding the filing of the complaint;
 2. The complaint must be on the form prescribed by the commission, in substantially conform thereto;
 3. The complaint must contain the name, address, and signature of the complainant, and all other information requested on the complaint forms.
- B. The Spencer County Ethics Commission may initiate a complaint upon its own motion, based upon information reported in the media.

SECTION 17: INITIAL INQUIRY

- A. Not later than fifteen (15) days after the Spencer County Ethics Commission receives the complaint from a citizen or initiates a complaint upon its own motion, the Ethics Commission shall begin an initial inquiry into the alleged violation of the provisions of this chapter.
- B. Within thirty (30) days of commencement of the initial inquiry, the Spencer County Ethics Commission shall conclude whether or not the complaint is incomplete, outside of its jurisdiction, or frivolous. It shall reduce this conclusion, if so found, to writing and shall transmit a copy thereof, if possible, to the complainant and to the local government officer or employee against whom the complaint was filed, thereby terminating the action and dismissing the complaint.
- C. If a potential violation is found the Spencer County Ethics Commission shall notify within ten (10) days the local government officer or employee against whom the complaint was filed of the nature of the complaint and the facts and circumstances set forth therein. Such notice shall be in writing and shall contain a copy of the original complaint.

SECTION 18: PRELIMINARY INVESTIGATION

- A. Upon a finding of potential violation after an initial inquiry, the commission shall afford any person accused of a violation an opportunity to respond in writing to the allegations in the complaint. The accused person shall be allowed thirty (30) days to prepare a written response. A fifteen (15) day extension may be offered at the discretion of the commission. A copy of the written response will be forwarded to the complainant. The accused person may request an opportunity to appear before the commission for informal oral arguments. The commission shall permit such appearance only after giving written notice to the complainant of his/her right to be present.
- B. If the Spencer County Ethics Commission determines, during or after the preliminary investigation, that the complaint does not allege facts sufficient to constitute a violation of this chapter, the Commission shall immediately terminate the inquiry and shall issue a written opinion to that fact. Copies of the opinion shall be filed with the Spencer County Clerk.
- C. If the Spencer County Ethics Commission during the course of the preliminary investigation or hearing, finds probable cause to believe that a violation of this

chapter has occurred, the commission shall notify in writing to the accused violator such findings and may, upon majority vote initiate an adjudicatory proceeding under the provisions of Section 19 of this chapter to determine whether there has been a violation of the provisions of this chapter on ethics and financial disclosure. Such an adjudicatory proceeding shall occur no less than 30 days from the issuance of written notice to the accused violator.

- 1) If the Spencer County Ethics Commission finds probable cause to believe that a violation of this chapter has occurred, but fails to initiate an adjudicatory hearing, any Party to the ethics complaint may, within 30 days of the Ethics Commission's ruling, request in writing, to the Ethics Commission, that an adjudicatory hearing be called. The Ethics Commission shall grant the request. Such an adjudicatory proceeding shall occur no less than 30 days from receiving the request for the hearing.

SECTION 19: ADJUDICATORY PROCEEDINGS

- A. The Kentucky Rules of Civil Procedure and the Kentucky Rules of Evidence shall apply to all Spencer County Ethics Commission adjudicatory hearings.
- B. All testimony in a Spencer County Ethics Commission adjudicatory hearing shall be under oath and shall be recorded by a judicial court reporter.
- C. All parties shall have the right to call and examine witnesses, to introduce exhibits, to cross-examine witnesses, to submit evidence, and to be represented by legal counsel and any other due process rights, privileges, and responsibilities of a witness appearing before the courts of the Commonwealth of Kentucky.
- D. Any person whose name is mentioned during a Spencer County Ethics Commission Adjudicatory Hearing and who may be adversely affected thereby may appear personally before the Commission on the person's own behalf, with or without an attorney, to give a statement of opposition to such adverse mention or file a written statement of the opposition for incorporation into the record of the proceeding.
- E. All Spencer County Ethics Commission Adjudicatory Hearings shall be carried out pursuant to the provisions of this section and shall be open to the public unless the members vote to go into executive session in accordance with the provisions of KRS 61.110.
- F. Within five (5) days after the end of an adjudicatory proceeding held pursuant to the provisions of this section, the Spencer County Ethics Commission shall meet in executive session for the purpose of reviewing the evidence before it. Within ten (10) days after the completion of deliberations, the Spencer County Ethics Commission shall publish a written report of its findings of facts and conclusions of law. This report shall be filed with the County Attorney, or Attorney General of Kentucky if filed in regard to the County Attorney.
- G. The Spencer County Ethics Commission, upon finding pursuant to an adjudicatory hearing, that there has been clear and convincing proof of a violation of this chapter of the Spencer County Administrative Code, is required to:
1. Issue an order requiring the violator to cease and desist the violation and impose one or more of the following penalties:
 - a. Due to mitigating circumstances such as lack of significant economic advantage or gain by the alleged violator, lack of significant economic loss to the county, or lack of significant impact on public confidence in government, the Spencer County Ethics Commission may issue a public or confidential reprimand, in writing, to the alleged violator for potential violations of the provisions of this chapter.
 - b. Issue an order requiring the violator to file any report, statement, or other information as required by this chapter on ethics and financial disclosure.
 - c. In writing, publicly reprimand the violator for potential violations of the law and provide a copy of the reprimand to the County Clerk.
 - d. Issue an order requiring the violator to pay a civil penalty of not more than one thousand dollars (\$1,000.00). Such civil penalty shall be paid to the Spencer County Treasurer.
 - e. Refer the matter to the Spencer County Attorney, Commonwealth Attorney, Attorney General of Kentucky, or other investigative agencies of appropriate jurisdiction for further proceedings and possible criminal penalties.
 2. Any findings against and/or penalties imposed on an elected official must be recorded with the Spencer County Clerk's office.
- H. Findings of fact or final determination by the Spencer County Ethics Commission that a violation of this chapter of the Spencer County Administrative Code has been committed, or any testimony related to the Commission's findings of fact or final determinations, shall not be admissible in criminal proceedings in the courts of the Commonwealth of Kentucky. Evidence collected by the Spencer County Ethics Commission may be used in a criminal proceeding if otherwise relevant.

SECTION 20: APPEALS

- A. Any person found by the Spencer County Ethics Commission to have committed a violation of this chapter of the Spencer County Administrative Code may appeal the action to the Spencer Circuit Court. The appeal shall be initiated within thirty (30) days after the final action of the Spencer County Ethics Commission by filing a petition with the Spencer Circuit Court against the Spencer County Ethics Commission. The Spencer County Ethics Commission shall transmit to the clerk of the Spencer Circuit Court all evidence considered by the Spencer County Ethics Commission at the public hearing. The Spencer Circuit Court shall hear the appeal upon the record as certified by the Spencer County Ethics Commission.

SECTION 21: GENERAL PROVISIONS

- A. All Spencer County Ethics proceedings and records with the exception of the adjudicatory hearing shall be confidential until a final determination is made by the Commission. Notwithstanding the foregoing, the Spencer County Ethics Commission may turn over to the Spencer County Attorney, the Commonwealth Attorney, or other appropriate investigative agency, evidence which may be used in criminal proceedings.
- B. The complaining party or alleged violator shall not publicly disclose the existence of a complaint under preliminary investigation. Violation of this subsection may result in disciplinary action up to and including suspension without pay, a fine, or both. This action would not preclude either party from obtaining counsel.
- C. The Spencer County Ethics Commission members shall not publicly disclose the existence of a complaint or a preliminary investigation nor make public any documents which were issued to any party in an action until a final determination is made.
- D. Any person who knowingly files with the Spencer County Ethics Commission a false complaint of misconduct on the part of any county officer or employee shall be charged with a Class A misdemeanor.
- E. An accused person shall have the right to be represented by an attorney at every stage beyond initial inquiry.

SECTION 12: SEVERABILITY SECTION

The provisions of each section of this Ordinance are severable, and if any provision, section, paragraph, sentence or part thereof, or the application thereof to any person, because, class or group, be held unconstitutional or invalid for any reason, such holding shall not affect or impair the remainder of this Ordinance, it being the legislative intent of the Fiscal Court to ordain and enact each provision, section, paragraph, sentence and part thereof, separately and independently of every other.

SECTION 21: EFFECTIVE

This ordinance shall become effective on _____, 2011, after its passage and publication or required by law. All ordinances or parts of ordinances in conflict with this Ordinance are hereby repealed.

Given first reading and approval on March 21st, 2011.

Given second reading and adoption on April 4th, 2011.

Bill Kuyper
Spencer County Judge Executive

Attest:

Judy Fackler
Spencer County Fiscal Court Clerk

8) Executive Session-Litigation And Personnel(KRS 61.810(1)(c)(f) And 61.815:

On motion of Esq. Davis, second by Esq. Judd, all members of the Court present voting "Aye", it is hereby ordered by the Court pursuant to KRS 61.810(1)(c)(f) and 61.815, to go into executive session for litigation and personnel.

On motion of Esq. Davis, second by Esq. Moody, all members of the Court present voting "Aye", it is hereby ordered by the Court to go back into regular session of this Fiscal Court Meeting.

No action taken.

The following motions to record is as follows:

On motion of County Judge Kuyper, second by Esq. Judd, all members of the Court present voting "Aye", it is hereby ordered by the Court to accept motion number one concerning fee pooling.

**MOTION NUMBER ONE
CONCERNING FEE POOLING**

Since enacting Spencer County Ordinance Number 14 2011series, effective 7 March 2011, the Spencer County Clerk has refused to obey the law and co-operate in implementing this Ordinance. The Clerk has refused to execute her legal obligation to affix her signature to said ordinance attesting that this Court did pass said ordinance. The Clerk has continually refused to hand over funds to the Spencer County Treasurer or to take any actions to ensure that these transfers occur.

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Given these facts, I move that the Spencer County Fiscal Court request that the Spencer County Attorney, on behalf of the Citizens and Taxpayers of Spencer County and the Spencer County Fiscal Court, begin the process to take all proper legal actions, be it civil and/or criminal, to bring the Spencer County Clerk into compliance with Spencer County Ordinance 14 2011 series.

"Aye" On motion of County Judge Karrer, second by Esq. Judd, all members of the Court present Voting it is hereby ordered by the Court to accept motion number two, concerning insurance premiums.

MOTION NUMBER TWO CONCERNING INSURANCE PREMIUMS

It has recently come to the Spencer County Fiscal Court's attention that the Spencer County Clerk has been paying for 100% of her insurance premiums as well as 100% of her full time employees insurance premiums out of Clerk's fees. This practice continued for many years. During these same periods the Spencer County Fiscal Court has provided insurance benefits to all full time Spencer County employees but paying less than 100% of the insurance premiums.

Giving individuals or groups of individuals, preferential treatment over others in County Government is strictly forbidden and against the law.

Given these facts, I move that the Spencer County Fiscal Court request that the Spencer County Attorney, on behalf of the Citizens and Taxpayers of Spencer County and the Spencer County Fiscal Court, begin the process to take all proper legal actions, be it civil and/or criminal, to recover from the Spencer County Clerk all monies in excess fees improperly expended by the Spencer the Spencer County Clerk for personnel insurance premiums of any and all types.

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On motion of County Judge Karrer, second by Esq. Judd, all members of the Court present voting "Aye", it is hereby ordered by the Court to accept motion number three, concerning not budgeting recieved funds.

**MOTION NUMBER THREE
CONCERNING NOT BUDGETING RECEIVED FUNDS**

It has recently come to the Spencer County Fiscal Court's attention that in the Calendar Year 2007 Spencer County Fiscal Court did supplement the Spencer County Clerk's 2006 and 2007 Fiscal Year budgets with funds totaling well in excess of \$100,000.00. These monetary contributions negatively impacted the Spencer County Fiscal Court's FY2006-2007 and 2007-2008 budgets. These funds were never acknowledged as received by the Spencer County Clerk as amendments or incorporated in anyway into either her FY2006 nor 2007 budgets.

Given these facts, I move that the Spencer County Fiscal Court request that the Spencer County Attorney, on behalf of the Citizens and Taxpayers of Spencer County and the Spencer County Fiscal Court, begin the process to take all proper legal actions, be it civil and/or criminal, to require and ensure that the Spencer County Clerk accounts for these funds and return them to the Spencer County Fiscal Court.

On motion of Esq. Moody, second by Esq. Davis, all members of the Court present voting "Aye", it is hereby ordered by the Court for this meeting to be adjourn.


BILL KARRER, COUNTY JUDGE EXECUTIVE